

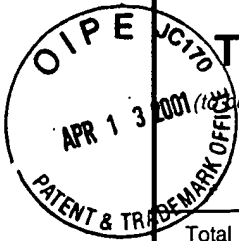
GAU 2133

PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	09/059,533
		<b>Filing Date</b>	April 13, 1998
		<b>First Named Inventor</b>	Jerrold V. Hauck
		<b>Group Art Unit</b>	2133
		<b>Examiner Name</b>	Joseph D. Torres
<b>Total Number of Pages in This Submission</b>	16	<b>Attorney Docket Number</b>	42390P5379

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Return postcard.</div>
<div style="text-align: right;"> <b>RECEIVED</b>  <b>APR 17 2001</b>  <b>Technology Center 2100</b> </div>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

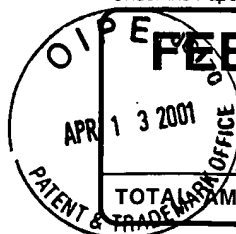
<b>Firm or Individual name</b>	Raul D. Martinez, Reg. No. 46,904 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
<b>Signature</b>	<i>Raul D. Martinez</i>
<b>Date</b>	April 10, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **April 10, 2001**

<b>Typed or printed name</b>	Lillian E. Rodriguez		
<b>Signature</b>	<i>Lillian E. Rodriguez</i>	<b>Date</b>	04/10/01

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

Application Number	09/059,533
Filing Date	04/13/98
First Named Inventor	Jerrold V. Hauck, et al.
Examiner Name	Joseph D. Torres
Group Art Unit	2133
Attorney Docket Number	42390P5379

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. FILING FEE

	Large	Entity	Small	Entity	Fee Description	Fee Paid
	Fee	Fee	Fee	Fee		
	Code	(\$)	Code	(\$)		
101	710	201	355	Utility filing fee		
106	320	206	160	Design filing fee		
107	490	207	245	Plant filing fee		
108	710	208	355	Reissue filing fee		
114	150	214	75	Provisional filing fee		

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 11 - 20** =	0	X 18.00 =	\$0.00
Independent Claims 4 - 4** =	0	X 80.00 =	\$0.00
Multiple Dependent Claims			

## Large Entity Small Entity

	Large	Entity	Small	Entity	Fee Description
	Fee	Fee	Fee	Fee	
	Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple Dependent claim	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEE

	Large	Entity	Small	Entity	Fee Description	Fee Paid
	Fee	Fee	Fee	Fee		
	Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - late filing fee or oath		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		
112	920	112	920	Requesting publication of SIR prior to Examiner action		
113	1,840	113	1,840	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for response within first month		
116	390	216	195	Extension for response within second month		
117	890	217	445	Extension for response within third month		
118	1,390	218	695	Extension for response within fourth month		
128	1,890	228	945	Extension for response within fifth month		
119	310	219	155	Notice of Appeal		
120	310	220	155	Filing a brief in support of an appeal		
121	270	221	135	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidably		
141	1,240	241	620	Petition to revive - unintentionally		
142	1,240	242	620	Utility issue fee (or reissue)		
143	440	243	220	Design issue fee		
144	600	244	300	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Petitions related to provisional applications		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))		
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))		
179	710	279	355	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Typed or Printed Name Raul D. Martinez, Reg. No. 46,904

Signature

Date

04/10/01

## Complete (if applicable)

Reg. Number

Deposit Account User ID

02-2666

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